

DRIVING GROWTH ▲

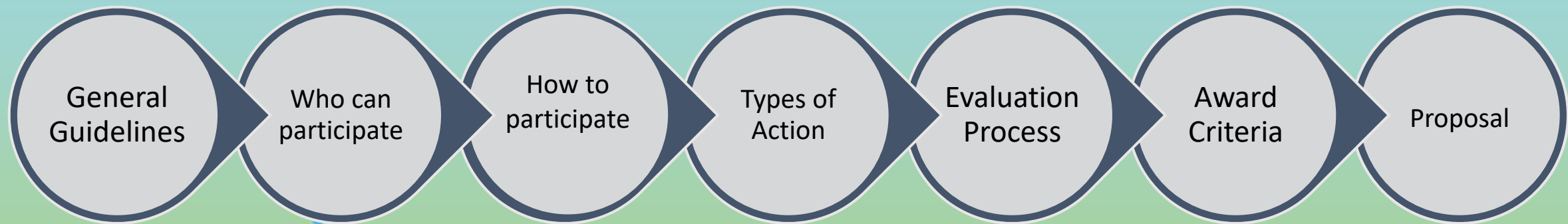
Basic Steps and Information on the Participation Process

Mary Economou
Scientific Officer
European Programs Department



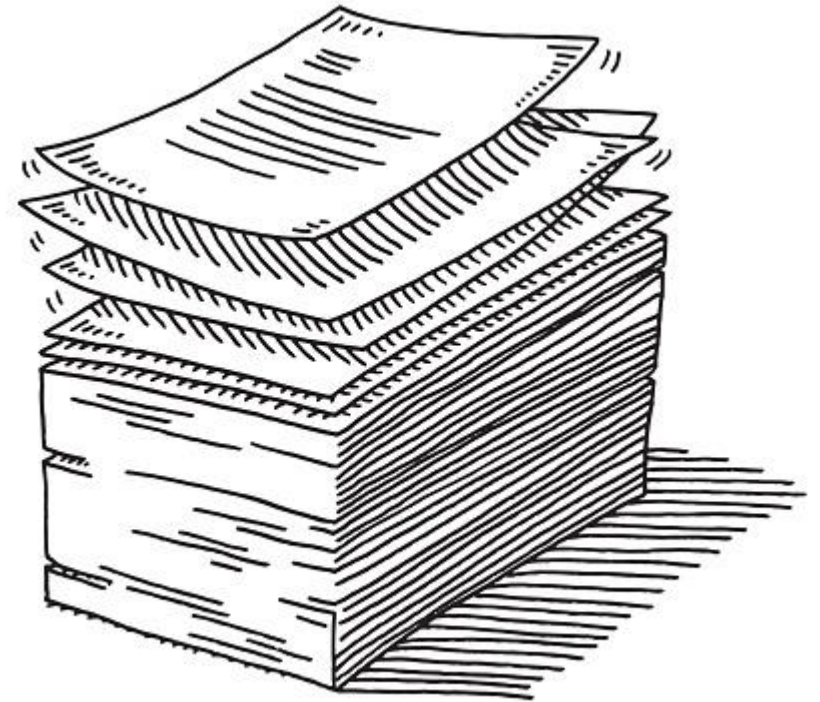
ΙΔΡΥΜΑ
ΕΡΕΥΝΑΣ ΚΑΙ
ΚΑΙΝΟΤΟΜΙΑΣ

Table of Contents



► General Guidelines

- A single set of simple and coherent participation rules applying to all Programmes.



► Rules for Participation

Who can participate?

Everybody – check the call for proposals



► Rules for Participation

Admissibility Criteria

- ***Applications submitted electronically via the Funding & Tenders Portal***
 - You need a 9-digit participant code (PIC)
 - Always remember to submit before the call deadline!



► Rules of Participation

Admissibility Criteria

- **Proposals**
 - Applications should be complete, readable, accessible and printable
 - Respecting page limit per type of action (RIA/IA: **45** pages, CSA: **30** pages)



► Rules for Participation - Eligibility Criteria

Who is eligible for funding?



Legal entities from:

- EU member states
- Associated countries
- Third countries (if announced in the call or their participation is essential)
- International organizations

► Rules for Participation- Eligibility Criteria

Team or Individual Participations

Collaborations/ Consortia are needed

- ≥ 3 legal entities from a least one Member State or an Associated Country.
- Always check the WP! -There might be specific conditions for participation

Copyright 2002 by Randy Glasbergen. www.glasbergen.com



“Before I begin, I’d just like to make it known that I didn’t volunteer to do this presentation.”

► Rules for Participation- Eligibility Criteria

Team or Individual Participations

Copyright 2008 by Randy Glasbergen.
www.glasbergen.com



"There is no I in TEAM. But there is an M and an E and that spells ME!"

Exceptions- only one member state or associated country

- For Coordination and Support Actions (CSA),
- Innovation and market deployment actions (IMDA)
- ERC and some MSCA

► Types of Actions



...the types of activities that are expected within each Proposal

Research and innovation action (RIA)
Activities to establish new knowledge or to explore the feasibility of a new or improved technology, product, process, service or solution.

Innovation action (IA)
Activities to produce plans and arrangements or designs for new, altered or improved products, processes or services.

Coordination and support actions (CSA)
Activities that contribute to the objectives of Horizon Europe. This excludes R&I activities, except for 'Widening participation and spreading excellence'

Programme co-fund actions (CoFund)
A programme of activities established or implemented by legal entities managing or funding R&I programmes, other than EU funding bodies.

Innovation and market deployment actions (IMDA)
Activities that embed an innovation action and other activities necessary to deploy an innovation on the market. (EIC)

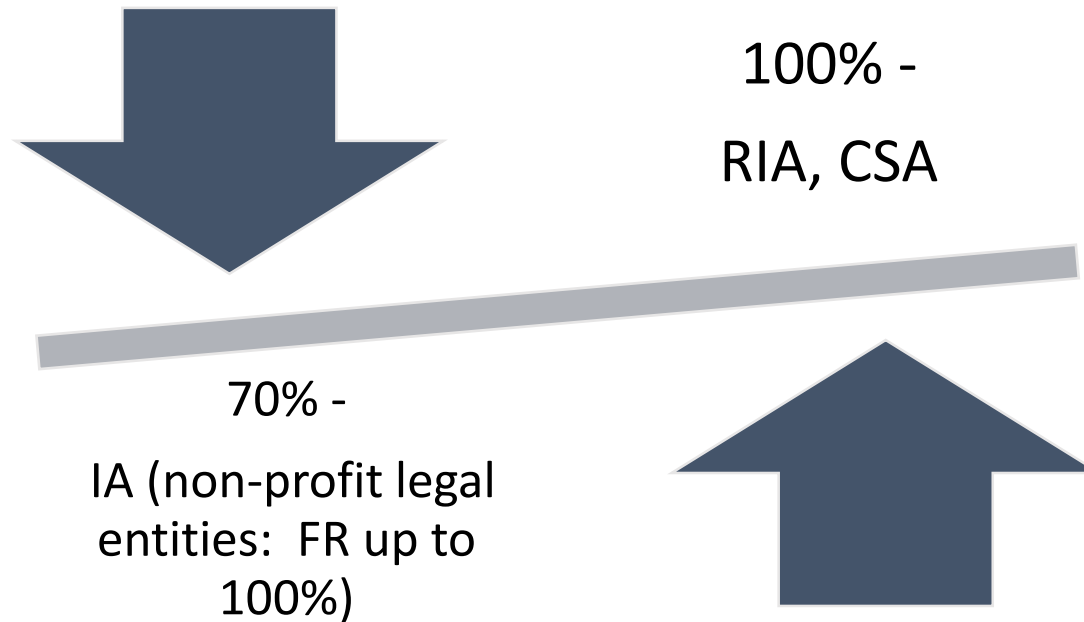
Training and mobility actions (TMA)
Activities that aim to improve the skills, knowledge and career prospects of researchers, based on mobility between countries and, if relevant, between sectors or disciplines. (MSCA)

Pre-commercial procurement actions/ (PCP)
Activities that aim to help a buyers' group to strengthen the public procurement of research, development, validation and, possibly, the first deployment of new solutions

Public procurement of innovative solutions actions (PPI)
Activities that aim to strengthen the ability of a buyers' group to deploy innovative solutions early

Types of actions

▶ Maximum funding rates



Other funding rates may be set out in the specific call conditions

Which activities are eligible for funding?

Described in the call conditions



Focus on civil applications



EU policy interests and priorities (environment, social, security, industrial policy, etc.).

Standard evaluation process

Receipt of proposals

Admissibility/eligibility criteria check
Allocation of proposals to evaluators

Individual evaluation

Experts assess proposals **individually**. Minimum of three experts per proposal (but often more than three).

Consensus group

All individual experts discuss together to agree on a **common position**, including comments and scores for each proposal.

Panel review

The panel of experts reach an **agreement** on the scores and comments for all proposals within a call, checking **consistency across the evaluations**.

if necessary, resolve cases where evaluators were unable to agree.

Rank the proposals with the same score

Finalisation

The Commission/Agency reviews the results of the experts' evaluation and puts together the **final ranking list**.

► Evaluation (Award) Criteria

Three evaluation criteria
**'Excellence', 'Impact' and 'Quality and efficiency
of the implementation'**.
(Only one evaluation criterion for ERC -
Excellence)



Horizon Europe
Evaluation Form (HE RIA and IA)

Version 2.0
28 April 2022



Horizon Europe

Evaluation Form (HE RIA and IA)

Version 2.0
26 April 2022

IMPORTANT NOTICE

Scoring:


Scoring must be in the range from 0-5. Half-marks may be given.

- 0 — The proposal fails to address the criterion or cannot be assessed due to missing or incomplete information.
- 1 — Poor. The criterion is inadequately addressed, or there are serious inherent weaknesses.
- 2 — Fair. The proposal broadly addresses the criterion, but there are significant weaknesses.
- 3 — Good. The proposal addresses the criterion well, but a number of shortcomings are present.
- 4 — Very Good. The proposal addresses the criterion very well, but a small number of shortcomings are present.
- 5 — Excellent. The proposal successfully addresses all relevant aspects of the criterion. Any shortcomings are minor.

Thresholds & weighting:

The threshold for the individual criteria is 3. The overall threshold, applying to the sum of the 3 individual scores, is 10 points.

Scores are normally NOT weighted. (Weighting is only used for some types of actions — and only for the ranking (not to determine if the proposal passed the thresholds).)

 Specific calls or topics may have different rules regarding thresholds and weighting.

Specific cases:

Two-stage calls

For stage 1 proposals, only the criteria Excellence and Impact will be evaluated and within those criteria only the aspects indicated in bold in General Annex of the Main Work Programme. The threshold for each of the two individual criteria is 4.

After the evaluation, the call coordinator will then fix an overall threshold, to limit the proposals that will be invited to stage 2. (This overall threshold will be set at a level which ensures that the total requested budget of proposals admitted to stage 2 is as close as possible to three times the available budget, and in any case, not less than 2.5 the available budget. The actual level will therefore depend on the volume of proposals received. The threshold is expected to normally be around 8 or 8.5.)

► Application form (proposal template)

Part A

To be filled in directly online

Administrative information such as role of participating organization, researchers' careers' information

Part B

The narrative part

Includes three sections – one for an evaluation criterion

Needs to be uploaded in a PDF format



Horizon Europe Programme
Standard Application Form (HE RIA, IA)

Application form (Part A)
Project proposal – Technical description (Part B)

Version 4.0
21 January 2022



DRIVING GROWTH ▲

Thank you!

Research and Innovation Foundation
P.O.Box 23422, 1683, Nicosia, Cyprus
TEL +357 22 205000
WEBSITE www.research.org.cy



ΙΔΡΥΜΑ
ΕΡΕΥΝΑΣ ΚΑΙ
ΚΑΙΝΟΤΟΜΙΑΣ